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Sheet 1 of 37

Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. CRDS-0062 (CRD0931 CIP)	Application No. 10/829,074
	Applicant Robert Falotico, et al.	
	Filing Date April 21, 2004	Group 3743 1615
	Confirmation No. 5950	

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
SK	1	861,659	07/30/07	Johnston	464	147
	2	3,051,677	08/28/62	Rexford	522	156
	3	3,279,996	10/18/66	Long et al.	424	424
	4	3,526,005	09/01/70	Bokros	623	11.11
	5	3,599,641	08/17/91	Sheridan	604	256
	6	3,744,596	07/10/73	Sander	188	203
	7	3,779,805	12/18/73	Alsberg	427	105
	8	3,929,992	12/30/75	Sehgal et al.	424	122
	9	3,948,254	04/06/76	Zaffaroni	128	833
	10	3,952,334	04/27/76	Bokros et al.	623	11.11
	11	3,968,800	07/17/76	Vilasi	606	198
	12	4,069,307	01/17/78	Higuchi et al.	424	432
	13	4,076,285	02/28/78	Martinez	285	332
	14	4,299,226	11/10/81	Banka	604	509
	15	4,300,244	11/17/81	Bokros	623	1.13
	16	4,312,920	01/26/82	Pierce et al.	428	425.5
	17	4,321,711	03/30/82	Mano	623	1.43
	18	4,323,071	04/06/82	Simpson et al.	606	194
	19	4,390,599	06/28/83	Broyles	428	597
	20	4,413,359	11/08/83	Akiyama et al.	623	23.72
SK	21	4,423,183	12/27/83	Close	524	546

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U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
SK	22	4,512,338	04/23/85	Balko et al.	606	108
	23	4,550,447	11/05/85	Seiler, Jr. et al.	623	1.32
	24	4,560,374	12/24/85	Hammerslag	604	509
	25	4,562,596	01/07/86	Kronberg	623	1.32
	26	4,565,740	01/21/86	Golander et al.	428	409
	27	4,642,111	02/10/87	Sakamoto et al.	424	492
	28	4,656,083	04/07/87	Hoffman et al.	442	123
	29	4,676,241	06/30/87	Webb et al.	128	207.14
	30	4,678,466	07/07/87	Rosenwald	424	427
	31	4,687,482	08/18/87	Hanson	623	1.49
	32	4,689,046	08/25/87	Bokros	623	2.31
	33	4,731,054	03/15/88	Billeter et al.	604	93.01
	34	B1 4,733,665	01/11/94	Palmaz	606	108
	35	4,740,207	04/26/88	Kreamer	623	1.15
	36	4,749,585	06/07/88	Greco et al.	428	422
	37	4,753,652	06/28/88	Langer et al.	623	1.42
	38	4,760,849	08/02/88	Kropf	606	191
	39	4,768,507	09/06/88	Fischell et al.	623	1.11
	40	4,786,500	11/28/88	Wong	424	422
	41	4,787,899	11/29/88	Lazarus	623	1.11
	42	4,810,784	03/07/89	Larm	536	20
	43	4,871,357	10/03/89	Hsu et al.	604	266
✓	44	4,876,109	10/10/89	Mayer et al.	604	269
SK	45	4,994,298	02/19/91	Yasuda	427	490

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Examiner Initial		Document No.	Date	Name	Class	Subclass
SK	46	4,998,923	03/12/91	Samson et al.	606	194
	47	5,019,090	05/28/91	Pinchuk	623	1.15
	48	5,019,096	05/28/91	Fox, Jr. et al.	600	36
	49	5,029,877	07/09/91	Fedeli et al.	277	354
	50	5,034,265	07/23/91	Hoffman et al.	442	126
	51	5,047,020	09/10/91	Hsu	604	266
	52	5,049,403	09/17/91	Larm et al.	427	2.1
	53	5,059,166	10/22/91	Fischell et al.	600	3
	54	5,171,217	12/15/92	March et al.	604	507
	55	5,176,972	01/05/93	Bloom et al.	430	14
	56	5,213,898	05/25/93	Larm et al.	428	422
	57	5,272,012	12/21/93	Opolski	428	423.1
	58	5,378,475	01/03/95	Smith et al.	424	473
	59	5,383,853	01/24/95	Jung et al.	604	103.04
	60	5,399,352	03/21/95	Hanson	424	423
	61	5,417,969	05/23/95	Hsu et al.	424	78.27
	62	5,464,540	11/07/95	Friesen et al.	210	640
	63	5,474,563	12/12/95	Myler et al.	606	108
	64	5,512,055	04/30/96	Domb et al.	604	265
	65	5,519,042	05/21/96	Morris et al.	514	378
	66	5,569,462	10/29/96	Martinson et al.	424	423
	67	5,569,463	10/29/96	Helmus et al.	424	426
↓	68	5,571,089	11/05/96	Crocker	604	103.01
SK	69	5,575,818	11/19/96	Pinchuk	623	1.15

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SK	70	5,604,283	02/18/97	Wada et al.	524	236
	71	5,616,608	04/01/97	Kinsella et al.	514	449
	72	5,632,771	05/27/97	Boatman et al.	623	1.15
	73	5,632,776	05/27/97	Kurumatani et al.	424	423
	74	5,635,201	06/03/97	Fabo	424	443
	75	5,653,747	08/05/97	Dereume	623	1.54
	76	5,665,591	09/09/97	Sonenshein et al.	435	375
	77	5,667,764	09/16/97	Kopia et al.	424	1.45
	78	5,672,638	09/30/97	Verhoeven et al.	523	112
	79	5,674,242	10/07/97	Phan et al.	606	198
	80	5,684,061	11/04/97	Ohnishi et al.	523	114
	81	5,691,311	11/25/97	Marganore et al.	514	12
	82	5,713,949	02/03/98	Jayaraman	623	1.12
	83	5,716,981	02/10/98	Hunter et al.	514	449
	84	5,755,772	05/26/99	Evans et al.	128	898
	85	5,759,205	06/02/98	Valentini	433	173
	86	5,792,106	08/11/98	Mische	604	103.01
	87	5,827,587	10/27/98	Fukushi	428	36.6
	88	5,843,120	12/1/98	Israel et al.	623	1.15
	89	5,843,166	12/1/98	Lentz et al.	623	1.13
	90	5,877,224	03/02/99	Brocchini et al.	514	772.2
	91	5,893,840	04/13/99	Hull et al.	604	103.02
✓	92	5,897,911	04/27/99	Loeffler	427	2.25
SK	93	5,916,910	06/29/99	Lai	514	423

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SK	94	5,922,393	07/13/99	Jayaraman	427	2.3
	95	5,932,243	08/03/99	Fricker et al.	424	450
	96	5,932,299	08/03/99	Katoot	427	508
	97	5,932,580	08/03/99	Levitzki et al.	181	152
	98	5,968,091	10/19/99	Pinchuk et al.	623	1.16
	100	6,015,432	01/18/00	Rakos et al.	623	1.13
	101	6,120,847	09/19/00	Yang et al.	427	335
	102	6,159,488	12/12/00	Nagier et al.	424	423
	103	6,179,817 B1	01/30/01	Zhong	604	265
	104	6,193,746	02/27/01	Strecker	623	1.13
	105	6,225,346	05/01/01	Tang et al.	514	523
	106	6,245,537	06/12/01	Williams et al.	435	135
	107	6,251,920	06/26/01	Grainger et al.	514	319
	108	6,254,634 B1	07/03/01	Anderson et al.	623	1.42
	109	6,284,305	09/04/01	Ding et al.	427	2.28
	110	6,306,144 B1	10/23/01	Sydney et al.	606	108
	111	6,316,018	11/13/01	Ding et al.	424	423
	112	6,335,029	01/01/02	Kamath et al.	424	423
	113	6,358,556	03/19/02	Ding et al.	427	2.24
	114	6,369,039	04/09/02	Palasis et al.	424	93.2
	115	6,379,382	04/30/02	Yang	623	1.42
	116	6,387,121	05/14/02	Alt	623	1.15
✓	117	6,403,635	06/11/02	Kinsella et al.	514	449
SK	118	6,407,067 B1	06/18/02	Schafer	514	19

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Examiner Initial		Document No.	Date	Name	Class	Subclass
SK	119	6,517,858	02/11/03	Le Moel et al.	424	424
	120	6,517,889 B1	02/11/03	Jayaraman	427	2.24
	121	6,545,097	04/08/03	Pinchuk et al.	525	240
	122	6,585,764 B2	07/01/03	Wright et al.	623	1.42
	123	6,620,194	09/16/03	Ding et al.	623	1.43
	124	6,746,773	06/08/04	Llanos et al.	428	421
	125	6,776,796	08/17/04	Falotico et al.	623	1.46
	126	6,808,536 B2	10/26/04	Wright et al.	623	1.42
	127	2001/0029351 A1	10/11/01	Falotico et al.	604	103.02
	128	2002/0010418 A1	01/24/02	Lary et al.	604	101.04
	129	2002/0032477 A1	03/14/02	Helmus et al.	623	1.2
	130	2002/0041899 A1	04/11/02	Chudzik et al.	424	487
	131	2002/0061326 A1	05/23/02	Li et al.	424	424
	132	2002/0068969 A1	06/06/02	Shanley et al.	623	1.16
	133	2002/0071902 A1	06/13/02	Ding et al.	427	2.24
	134	2002/0082680 A1	06/27/02	Shanley et al.	623	1.16
	135	2002/0082685 A1	06/27/02	Sirhan et al.	623	1.42
	136	2002/0091433 A1	07/11/02	Ding et al.	623	1.2
	137	2002/0095114 A1	07/18/02	Palasis	604	96.01
	138	2002/0099438 A1	07/25/02	Furst	623	1.16
	139	2002/0103526 A1	08/01/02	Steinke	623	1.11
	140	2002/0119178 A1	08/29/02	Levesque et al.	424	423
↓	141	2002/0123505 A1	09/05/02	Mollison et al.	514	291
SK	142	2002/0127327 A1	09/12/02	Schwartz et al.	427	2.15

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SK	143	2002/0133222 A1	09/19/02	Das	623	1.16
	144	2002/0133224 A1	09/19/02	Bajgar et al.	623	1.39
	145	2002/0165608 A1	11/07/02	Llanos	604	500
	146	2002/0193475 A1	12/19/02	Hossainy et al.	524	113
	147	2003/0065377 A1	04/03/03	Davila et al.	604	265
	148	2004/0049265 A1	03/11/04	Ding et al.	623	1.42
	149	2004/0243097 A1	12/02/04	Falotico et al.	604	500
	150	2004/0260268 A1	12/23/04	Falotico et al.	604	500
	151	2005/0002986 A1	01/06/05	Falotico et al.	424	426
	152	2005/0004663 A1	01/06/05	Llanos et al.	623	1.46
	153	2005/0033261 A1	02/10/05	Falotico et al.	604	500
	154	2005/0106210 A1	05/19/05	Ding et al.	424	423
	155	2005/0187611 A1	08/25/05	Ding et al.	623	1.15
	156	2005/0208200 A1	09/22/05	Ding et al.	427	2.25
	157	2006/0088654 A1	04/27/06	Ding et al.	427	2.21
	158	2006/0089705 A1	04/27/06	Ding et al.	623	1.15
	159	07/819,314	01/09/92	Morris	-----	-----
	160	08/424,884	04/19/95	Helmus et al.	-----	-----
	161	08/526,273	09/11/95	Ding	-----	-----
	162	08/730,542	10/11/96	Helmus	-----	-----
SK	163	09/575,480	05/19/00	Kopia	-----	-----
	164	10/431,059	05/07/03	Falotico	-----	-----

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Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
SK	165	0 145 166 A2	06/19/85	EP		
	166	0 177 330 A2	04/09/86	EP		
	167	0 183 372 A1	06/04/86	EP		
	168	0 221 570 A2	05/13/87	EP		
	169	0 421 729 A2	04/10/91	EP		
	170	0 540 290 B1	01/28/98	EP		
	171	0 633 032 B1	02/28/01	EP		
	172	0 747 069 A2	12/11/96	EP		
	173	0 815 803 A1	07/01/98	EP		
	174	0 968 688 A1	01/05/00	EP		
	175	1 192 957 A2	04/03/02	EP		
	178	2 135 585 A	09/05/84	GB		
	179	19723723 A1	12/03/98	DE	X abstract	
	180	660689	05/05/79	SU		X
	181	1457921	02/15/89	SU		X
	182	00/21584 A1	04/20/00	WO		
	183	00/27455 A1	05/18/00	WO		
	184	00/32255 A1	06/08/00	WO		
↓	185	00/38754 A1	07/06/00	WO		
SK	186	01/87342 A2	11/22/01	WO		

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Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
SK	187	01/87372 A1	11/22/01	WO		
	188	01/87373 A1	11/22/01	WO		
	189	02/26139 A1	04/04/02	WO		
	190	02/26271 A1	04/04/02	WO		
	191	02/26280 A1	04/04/02	WO		
	192	02/26281 A1	04/04/02	WO		
	193	03/015664 A1	02/27/03	WO		
	194	03/057218 A1	07/17/03	WO		
	195	89/03232 A1	04/20/89	WO		
↓	196	98/08463 A1	03/05/98	WO		
SK	197	98/23244 A1	06/01/98	WO		

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NON-PATENT DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
SK	198	Abraham, R. T., "Mammalian target of rapamycin: Immunosuppressive drugs offer new insight into cell growth regulation," <i>Progress in Inflammation Research</i> , 2000, Switzerland	
	199	Alvarado, R. et al., "Evaluation of Polymer-coated Balloon-expandable Stents in Bile Ducts," <i>Radiology</i> , 1989, 170, 975-978	
	200	Badimon, J. J. et al., "Inhibitory Effects of Rapamycin on Intimal Hyperplasia After PTCA," <i>JACC</i> , March 1998	
	201	Bailey et al., "Polymer Coating of Palmaz-Schatz Stent Attenuates Vascular Spasm after Stent Placement," <i>Circulation</i> , 82:III-541 (1990)	
	202	Berk, B. C. et al., "Pharmacologic Roles of Heparin and Glucocorticoids to Prevent Restenosis After Coronary Angioplasty," <i>JACC</i> , May 1991, 17(6), 111B-117B	
	203	Bertram, P. G. et al., "The 14-3-3 proteins positively regulate rapamycin-sensitive signaling," <i>Current Biology</i> , 1998, 8, 1259-1267	
	204	BIOMATERIALS SCIENCE (B.D. Ratner, Ed.), Academic Press, New York, NY, pp. 228-38, 1996	
	205	Campbell, G. R. et al., "Phenotypic Modulation of Smooth Muscle Cells in Primary Culture, Vascular Smooth Muscle Cells in Culture," <i>CRC Press</i> , 1987, 39-55	
	206	Chang, M. W. et al., "Adenovirus-mediated Over-expression of the Cyclin/Cyclin-dependent Kinase inhibitor, p21 inhibits Vascular Smooth Muscle Cell Proliferation and Neointima Formation in the Rat Carotid Artery Model of Balloon Angioplasty," <i>J. Clin. Invest.</i> , 1995, 96, 2260-2268	
	207	Chung, J. et al., "Rapamycin-FKBP specifically blocks growth-dependent activation of and signaling by the 70 kd S6 protein kinases," <i>Cell</i> , June 26, 1992, 69(7), 1227-1236	
	208	Clowes, A. W. et al., "Kinetics of cellular proliferation after arterial injury. IV. Heparin inhibits rat smooth muscle mitogenesis and migration," <i>Circ. Res.</i> , 1986, 58(6), 839-845	
	209	Clowes, A. W. et al., "Kinetics of Cellular Proliferation after Arterial Injury, <i>Laboratory Investigation</i> , 1985, 52(6), 611-616	
SK	210	Clowes, A. W. et al., "Significance of quiescent smooth muscle migration in the injured rat carotid artery," <i>Circ Res.</i> 1985, 56(1), 139-145	

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NON-PATENT DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
SK	211	Clowes, A. W., "Suppression by heparin of smooth muscle cell proliferation in injured arteries," <i>Nature</i> , 1977, 265(5595), 625-626	
	212	Colburn, M. D. et al., "Dose responsive suppression of myointimal hyperplasia by dexamethasone," <i>J. Vasc. Surg.</i> , 1992, 15, 510-518	
	213	Currier, J. W. et al., "Colchicine Inhibits Restenosis After Iliac Angioplasty in the Atherosclerotic Rabbit," <i>Circ.</i> , 1989, 80(4), 11-66 (Abstract No. 0263)	
	214	ENCYCLOPEDIA OF POLYMER SCIENCE AND ENGINEERING, Vol. 7, Fluorocarbon Elastomers, p. 257-267, March 1989	
	215	Farb, A. et al., "Vascular smooth muscle cell cytotoxicity and sustained inhibition of neointimal formation by fibroblast growth factor 2-saporin fusion protein," <i>Circ. Res.</i> , 1997, 80, 542-550	
	216	Ferns, G. A. A. et al., "Inhibition of Neointimal Smooth Muscle Accumulation After Angioplasty by an Antibody to PDGF," <i>Science</i> , 1991, 253, 1129-1132	
	217	Fischman, D. L. et al., "A Randomized Comparison of Coronary-Stent Placement and Balloon Angioplasty in the Treatment of Coronary Artery Disease," <i>N. Eng. J. Med.</i> , 1994 Aug 25, 331(8), 496-501	
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	257	Trial Transcript from November 9, 2000 at 819-23, 921 (Cordis expert testimony regarding the '984 patent); 926-941 (R. Croce testimony re Palmaz-Schatz stent); 1033-1053. (R. Schatz testimony)
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	283	Trial Transcript from February 9, 2001 at 637-45, 662-672, 682-85 (J. Palmaz testimony regarding the prior art); 699-742 (R. Schatz testimony); 769-770, 790-95 (Cordis expert testimony regarding prior art)	
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	297	Trial Transcript from December 4, 2000 at 1602-12, 1638-51, 1713-14, 1730-61, 1811-14, 1823-36 (BSC expert testimony regarding the alleged obviousness of the '332 patent, the prior art and the Palmaz-Schatz stent)	
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	339	Expert Report of Dr. Rodney S. Badger on Behalf of Medtronic AVE, Inc. (January 31, 2000)	
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	364	Charnsangavej et al., Article "Stenosis of the Vena Cava: Preliminary Assessment of Treatment with Expandable Metallic Stents," Radiology, Vol. 161, pgs. 295-298, 1986. (AVE 359)
	365	Article "Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications," Radiology, Vol. 158, pgs. 309-312, 1986. (AVE 364)
	366	T. Yoshioka, et al., AIR Article: "Self-Expanding Endovascular Graft: An Experimental Study in Dogs", Vol 151, pgs. 673-676, 1988. (AVE 438)
	367	Article: "Expandable Intraluminal Vascular Graft: A Feasibility Study," Surgery, Vol. 99, pgs. 199-205, 1986. (AVE 461)
	368	Lawrence et al., Article: "Percutaneous Endovascular Graft: Experimental Evaluation." Radiology, Vol. 163, pgs. 357-360, 1987. (AVE 671)
	369	Palmaz et al., Article: Expandable Intraluminal Graft: A Preliminary Study, 1/17-22/1985, Radiology, Vol.156, pgs. 73-77, 1985. (AVE 1224)
	370	Fallone et al., "Elastic Characteristics of the Self-Expanding Metallic Stents," Investigative Radiology, Vol. 23, pgs. 370-76, 1988. (AVE 1953)
	371	Palmaz Paper Entitled "Research Project Expandable Vascular Endoprosthesis" May 18, 1983
	372	Rousseau , et al., Publication: "Percutaneous Vascular Stent: Experimental Studies & Preliminary Clinical Results in Peripheral Arterial Diseases," in Inter. Angio, Vol. 6, 153-161,1987. (AVE 3301)
	373	Rousseau , et al., Publication: "Self-Expanding Endovascular Prosthesis: An Experimental Study," Radiology, Vol.164, pgs. 709-714, 1987. (AVE 3303)
↓	374	Wallace, et al., Article: "Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications," Radiology, Vol. 58, pgs. 309-312, 1986. (DBX 2938)
SK	375	Palmaz et al., Article: "Expandable Intraluminal Graft: A Preliminary Study," Radiology, Vol. 156, pgs. 73-77 (DBX 4595)

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SK	376	Program for the 12th Annual Course on Diagnostic Angiography and Interventional Radiology 3/23-26/87 sponsored by The Society of Cardiovascular and Interventional Radiology (DBX 6235)	
	377	Preliminary Motion for Judgment re: Wolff claims 1, 2-8, 10, 15 and 19 (DBX6759)	
	378	Palmaz Declaration (DBX 7069)	
	379	Letter from Gaterud to Dr. Palmaz dated July 5, 1988 with attached document entitled: "Segmented, balloon-expandable stents." (DBX 7160)	
	380	Duprat et al., Article: "Flexible Balloon-Expandable Stent For Small Vessels," Radiology, Vol. 168, pgs. 276-278, 1987 (PX 82)	
	381	Drawing Sent to Bodic on March 17, 1986 (PX 374)	
	382	Letter from Dr. Palmaz to R. Bowman enclosing a model of the flexible coronary graft dated March 17, 1986 (PX 337)	
	383	Lab Notebook pages dated July 30, 1987 from Rodney Wolff (COR 185596-597) (PX621A)	
	384	Charnsangavej, et al., Article: "Stenosis of The Vena Cava Preliminary Assessment of Treatment with expandable Metallic Stents," Radiology, Vol. 161, No. 2, pgs. 295-298 with attached photographs, 1986. (API 72)	
	385	J. Palmaz: The Current Status of Vascular Prostheses, published by SCIR in the Twelfth Annual Course on Diagnostic Angiography And Interventional Radiology March 23-26, 1987. (API 73)	
	386	Amendment in Response to Office Action of October 18, 1998 in re: Application of Julio Palmaz S/N 174,246. (API 152)	
	387	Article: Wallace, et al., Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications Work In Progress, Radiology, Vol. 158, pp. 309-312. (API 295)	
	388	Reply of Senior Party Schatz To Patentee Wolffs Opposition To The Belated Motion For Judgment Of Applicant Schatz With Regard To Wolff Claims 1, 2-8, 10, 11, 13-17, And 19 (COR 186450-455) (API 310)	
↓	389	Brief Of Senior Party Schatz At Final Hearing (API 313)	
SK	390	Copy of Letter from Ron Sickles to Ben Tobor dated 2/10/88 (Exhibit 42)	

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SK	391	Copy of Letter from R.O. Sickles to Mike Tatlow dated 5/12/88 (Exhibit 43)	
	392	Copy of Letter from R. O. Sickles to Richard Schatz dated 6/2/88 (Exhibit 44)	
	393	Copy of Letter from Richard Schatz to Raimund Erbel dated 6/3/88 (Exhibit 45)	
	394	Copy of Letter from Richard Schatz to Mike Schuler dated 8/29/91 (Exhibit 48)	
	395	Minutes of J&J Stent Project Review Meeting dated 1/21/88 (Exhibit 7)	
	396	Preliminary Motion for Judgment with Regard to Wolff Claims 1, 2-8, 10, 11, 13-17, and 19. (Exhibit 67)	
	397	Declaration of Richard A Schatz. (Exhibit 75)	
	398	Belated Motion for Judgement with Regard to Wolff Claims 1, 2-8, 10, 11, 13-17 and 19. (Schatz - Exhibit 77)	
	399	Letter from Dr. Schatz to Mr. Tobor, dated 6/3/88. (Exhibit 122)	
	400	Letter from Dr. Schatz to Mr. Romano, dated 11/28/88. (Exhibit 131)	
	401	Letter from Mr. Sickles to Mr. Tobor, dated 2/10/88 (Exhibit 145)	
	402	Richard A. Schatz, Article titled: "A View of Vascular Stents" Circulation, Vol 79, No.2, pgs. 445-457, 1989. (Exhibit 194)	
	403	Senior Party Schatz's reply to Patentee Wolffs Opposition to the Preliminary Motion Of Applicant Schatz for judgment with regard to Wolff Claims 1, 2-8, 10, 11, and 13-17. (Exhibit 69)	
	404	Wallace, et al., Article: "Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications' Work In Progress," Radiology, Vol. 158, pp. 309-312, 1986. (Exhibit 165)	
	405	Charnsangavej, et al., Article: "Stenosis of The Vena Cava Preliminary Assessment of Treatment with expandable Metallic Stents," Radiology, Vol. 161, No. 2, pgs. 295-298 with attached photographs, 1986. (Exhibit 167)	
↓	406	David D. Lawrence et al., Publication: "Percutaneous Endovascular Graft: Experimental Evaluation", Radiology, pgs. 163, 357-360, 1987. (Exhibit 173)	
SK	407	Charles E. Putnam, M.D., Cover and article from "Investigative Radiology", Vol. 23. No. 5, May 1988. (Exhibit 177)	

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SK	408	Robert N. Berk, Cover and article from "American Journal of Roentology", pgs, 673-676, 1988. (Exhibit 178)	
	409	Declaration of John S. Kula Under 37 CFR § 1.672. (Kula - Exhibit 77)	
	410	Yoshioka et al., Article: "Self-Expanding Endovascular Graft: An Experimental Study in Dogs" AJR, Vol. 151, pgs. 673-676, 1988. (PX 100)	
	411	Palmaz, et al., Article: "Expandable Intraluminal Graft: A Preliminary Study Work in Progress ¹ ", Radiology, Vol. 156, No. 1, pgs. 73-77, 1985. (PX 101)	
	412	Declaration of Richard Schatz Under 37 C.F.R. § 1.672. (PX 106)	
	413	Charnsangavej et al., Article: "Stenosis of the Vena Cave: Preliminary Assessment of Treatment with Expandable Metallic Stents," Radiology, Vol. 161, pgs. 295-298, 1986. (PX 143)	
	414	Wallace, et al., Article: "Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications Work in Progress ¹ ", Radiology, Vol. 158, pgs. 309-312, 1986. (PX 144)	
	415	Gina Kolata, News Article: NY Times, "Devices That Opens Clogged Arteries Gets a Falling Grade in a New Study", pgs. 16-18, January 3, 1991. (PX 186)	
	416	Duprat, et al., Article: "Flexible Balloon- Expanded Stent for Small Vessels Work in Progress ¹ ", Radiology, Vol. 162, pgs. 276-278, 1987. (PX 207)	
	417	Letter from Palmaz to Bowman dated March 17, 1986. (PX 350)	
	418	Memo re: Minutes of Stent Project Review- San Antonio- March 15, 1988. (PX 651)	
	419	Kuntz, et al., Article: Clinical Cardiology Frontiers: "Defining Coronary Restenosis, Newer Clinical and Angiographic Paradigms", Circulation, Sept. 1993, Vol 88, No. 3, pgs. 1310-1323. (PX 854)	
	420	Belated Motion for Judgment with regard to Wolff Claims 1, 2-8, 10, 11, 13-17, and 19. (PX 1410)	
	421	Drawing of Sprial Stent (sent to Bodic 3/17/1986). (PX2933)	
	422	Wright et al., Article: "Percutaneous Endovascular Stents: An Experimental Evaluation," Radiology, Vol. 156, pgs. 69-72, 1985. (PX 3093)	
SK	423	Charnsangavej et al., Article: "A New Expandable Metallic Stent for Dilation of Stenotic Tubular Structures: Experimental and Clinical Evaluation," Houston Medical Journal, Vol. 3, pgs. 41-51, June 1987. (PX 3207)	
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	426	Letter from B. Tobor to R. Schatz dated July 23, 1991. (PX 3996)	
	427	Mullins et al., Article: "Implantation of balloon-expandable intravascular grafts by catheterization in pulmonary arteries and systemic veins," Circulation, Vol. 77, No. 1, pgs. 188-189, 1988. (PX4049)	
	428	Schatz et al., Article: "Intravascular Stents for Angioplasty," Cardio, 1997. (PX 4050)	
	429	Schatz et al., Article: "New Technology in Angioplasty Balloon-Expandable Intravascular Stents, New Developments in Medicine," Vol. 2, No. 2 pgs. 59-75, 1987. (PX4051)	
	430	Richard A. Schatz, Article: "Introduction to Intravascular Stents," Cardiology Clinics, Vol. 6, No. 3, pgs. 357-372, 1988. (PX 4052)	
	431	Richard A. Schatz, Article: "A View of Vascular Stents," Circulation, Vol. 79, No. 2, pgs. 445-457, 1989. (PX4053)	
	432	Wang et al., Article: "An Update on Coronary Stents," Cardio, pgs. 177-186, 1992. (PX 4054)	
	433	Richard A. Schatz, Article: "New Technology in Angioplasty: Balloon-Expandable Starts," Medicamundi, Vol 33, No. 3, pgs. 112-116, 1988. (PX 4055)	
	434	Letter from Tobor to Schatz dated September 29, 1988. (PX 1395)	
	435	Verified Statement of Facts by Unnamed Inventor R.A. Schatz document filed in U.S. Patent and Trademark Office on September 8, 1989. (PX 3677)	
	436	Declaration of John S. Kula Under 37 CFR § 1.672 (Exhibit 329)	
	437	Letter to Mike Schular from R.A. Schatz dated August 29, 1991. (Exhibit 402)	
↓	438	Articulated, Balloon - Expandable Stents, (DBX 7159)	
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SK	440	J. Rosch et al., Modified Gianturco Expandable Wire Stents In Experimental and Clinical Use, Ann Radiol, Vol. 31, No. 2, pgs. 100-103, 1987.	
	441	J. Rosch et al., Gianturco Expandable Stents In the Treatment of Superior Vena Cava Syndrome Recurring After Vena Cava Syndrome Recurring After Maximum-Tolerance Radiation, Cancer, Vol. 60, pgs. 1243-1246, 1987	
	442	I.E. Gordon, Structures or Why Things Don't Fall Down, Penguin Books, pgs. 45-59, 132-148, 210-244, 377-383	
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	444	Argument submitted re EP 861 15473 dated January 20, 1995. (AVE 2478)	
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	447	Palmaz et al., Atherosclerotic Rabbit Aortas: Expandable Intraluminal Grafting, Radiology, Vol. 168, pgs. 723-726, 1986.	
	448	Palmaz, The Current Status of Vascular Prostheses; Rosch et al., Gianturco, Expandable Stents in Experimental and Clinical Use, SCIVR, pgs. 118-124, 1987.	
	449	Rosch et al., Abstract: Modified Gianturco Expandable Wire Stents in Experimental and Clinical Use, CIRSE, Porto Cervo, Sardinia, May 25-29, 1987.	
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	451	Mirich et al., Percutaneously Placed Endovascular Grafts for Aortic Aneurysms: Feasibility Study, Radiology, Vol. 170, pgs. 1033-1037, 1989.	
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↓	453	Palmaz et al., Abstract: Expandable Intraluminal Graft: A Preliminary Study, Radiology, Vol. 153 (P), November 1983: 70 th Scientific Assembly and Annual Meeting	
SK	454	Cragg et al, Nonsurgical Placement of Arterial Endoprostheses: A New Technique Using Nitinol Wire, Radiology, Vol. 147, pgs. 261-263, April, 1983.	

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SK	455	J. Rosch et al., Gianturco Expandable Stents in Experimental and Clinical Use, Program: "Twelfth Annual Course on Diagnostic Angiography and Interventional Radiology" (Society of Cardiovascular and Interventional Radiology, Pittsburgh, PA), March 23-26, 1987 (the second Monofilament Article).	
	456	Uchida et al., Modifications of Gianturco Expandable Wire Stents, AIR, Vol. 150, pgs. 1185-87, 1988.	
	457	Palmaz, Balloon-Expandable Intravascular Stent, AJR, Vol. 151, pgs. 1263-69.	
	458	Cordis Corporation v. Advanced Cardiovascular Systems, Inc., Guidant Corporation, Arterial Vascular Engineering, Inc., Boston Scientific Corporation and SCMED Life Systems, Inc., Plaintiffs Complaint, October 23, 1997 (Case No. 97-550-SLR)	
	459	Arterial Vascular Engineering, Inc. v. Cordis Corporation, Johnson & Johnson and Expandable-Grafts Partnership, Plaintiffs First Amended Complaint for Declaratory Relief of Patent Validity, Unenforceability, Noninfringement, and for Antitrust Violations, January 27, 1998 (Civil Action No. 97-700)	
	460	Arterial Vascular Engineering, Inc. v. Cordis Corporation, Johnson & Johnson and Expandable-Grafts Partnership, Cordis Corporation and Johnson & Johnson's Answer and Counterclaim, February 27, 1998 (Civil Action No. 97-700-SLR)	
	461	Arterial Vascular Engineering, Inc. v. Cordis Corporation, Johnson & Johnson and Expandable-Grafts Partnership, Expandable-Graft Partnership's Answer, February 27, 1998 (Civil Action No. 97-700-SLR)	
	462	Arterial Vascular Engineering, Inc. v. Cordis Corporation, Johnson & Johnson and Expandable-Grafts Partnership, Reply of Plaintiff Arterial Vascular Engineering, Inc. To Counterclaims of Defendant Cordis Corporation, March 31, 1998 (Civil Action No. 97-700-SLR)	
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SK	464	Cordis Corporation v. Advanced Cardiovascular Systems, Inc. and Guidant Corporation, Cordis Corporation's Motion for a Preliminary Injunction, October 8, 1997 (Civil Action No. 97-550)	

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SK	465	Cordis Corporation v. Advanced Cardiovascular Systems, Inc., Guidant Corporation Arterial Vascular Engineering, Inc., Boston Scientific Corporation and SCJVID, Inc., Cordis 's Motion for Preliminary Injunction Against Arterial Vascular Engineering, Inc., December 29, 1997 (Case No. 97-550-SLR)	
	466	Deposition of R. Schatz, M.D. in Cordis Corporation v. Advanced Cardiovascular Systems, Inc., taken on January 8, 1998 (Civil Action No. 97-550 SLR)	
	467	Deposition of Lee P. Bendel in Cordis Corporation v. Advanced Cardiovascular Systems, Inc., taken on January 22, 1998 (Civil Action No. 97-550 SLR)	
	468	Deposition of Julio Cesar Palmaz in Cordis Corporation v. Advanced Cardiovascular Systems, Inc., taken on December 29, 1997 (Civil Action No. 97-550 SLR)	
	469	Deposition of Richard A. Bowman in Cordis Corporation v. Advanced Cardiovascular Systems, Inc., taken on January 9, 1998 (Civil Action No. 97-550 SLR)	
	470	Deposition of Gary Schneiderman in Cordis Corporation v. Advanced Cardiovascular Systems, Inc., taken on January 16, 1998 (Civil Action No. 97-550 SLR)	
	471	Deposition of David Pearle, M.D. in Cordis Corporation v. Advanced Cardiovascular Systems, Inc., taken on July 10, 1998 (Civil Action No. 97-550 SLR)	
	472	Preliminary Injunction hearing testimony taken on February 9-13, 1998 (Civil Action No. 97-550 SLR)	
	473	Cordis Corporation v. Advanced Cardiovascular Systems, Inc., et al., (Civil Action No. 97-550 SLR) and Cordis Corporation v. Advanced Cardiovascular Systems, Inc. Et al. (Civil Action No. 98-65-SLR), Opening Post Hearing Brief of Plaintiff Cordis Corporation in Support of Motion for Preliminary Injunction, March 6, 1998 (Portions relevant to patent claim construction and patent validity issues)	
SK	474	Cordis Corporation and Expandable Grafts Partnership v. Advanced Cardiovascular Systems, Inc. et al., Post-Hearing Reply Brief of Plaintiff Cordis Corporation in Support of Its Motion for Preliminary Injunction, April 10, 1998 (Case No. 97-550 SLR) (Portions relevant to patent validity issues)	

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	476	Cordis Corporation and Expandable Grafts Partnership v. Advanced Cardiovascular Systems, Inc., et al., Judge Robinson's Order Denying Plaintiffs Motion for a Preliminary Injunction, July 17, 1998 (Civil Action No. 97-550 SLR)	
	477	Cordis Corporation and Expandable Grafts Partnership v. Advanced Cardiovascular Systems, Inc., et al., Defendant Boston Scientific Corporation and SCTMED Life Systems, Inc.'s Motion for Summary Judgment of Invalidity of U.S. Patent No. 5,102,417, August 27, 1998 (Civil Action No. 97-550- SLR)	
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	480	Boston Scientific Limited, et al. v. Expandable Grafts Partnership, Petition for Revocation, March 13, 1997 (UK Action No. 1497)	
	481	Boston Scientific Limited, et al. v. Expandable Grafts Partnership, Particulars of Objections, March 13, 1997 (UK Action No. 1497)	
	482	Boston Scientific Limited, et al. v. Expandable Grafts Partnership and Boston Scientific Limited et al., v. Julio C. Palmaz, Boston's Skeleton Argument (UK Action Nos. 1493, 1495, 1496, and 1497)	
	483	Boston Scientific Limited, et al. v. Julio C. Palmaz and Expandable Grafts Partnership, Skeleton Argument of Palmaz/EGP, March 19, 1998 (UK Action Nos. 1493, 1495, 1496 and 1497)	
	484	Boston Scientific Limited, et al. v. Julio C. Palmaz and Expandable Grafts Partnership, EGP's Final Submissions, April 2, 1998 (UK Action Nos. 1493, 1495, 1496 and 1497)	
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	487	Statement of Claim by Boston Scientific et al. against Expandable Grafts Partnership et al., in EPG et al., v. Boston Scientific et al. in Netherlands (March 13, 1997)	
	488	Motion for Joinder of Actions, Change of Claim and Statement of Claim filed by Expandable Grafts Partnership et al. in EPG et al. v. Boston Scientific et al. In Netherlands (April 22, 1997)	
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	495	Translation of Nullity Action Against EPO 0 364 787 by Biotronik in Germany	
	496	Translation of Nullity Action Against EPO 0 335 341 by Biotronik in Germany	
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	499	Nullity Suit Against EP-B1-0 335 341 Brought by Boston Scientific in Germany	
↓	500	Translation of Opposition filed by Terumo Corp. Against Japan Patent No. 2680901	
SK	501	Translation of Decision on Opposition Against Japan Patent No. 2680901	

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	503	Translation of Judgment in Nullity Action Against EP 0 364 787 by Biotronik in Germany
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	505	Trial transcript from March 17, 2005 at 171-172, 191-192
	506	Trial transcript from March 18, 2005 at 282-285, 325-327, 349-351
	507	Trial transcript from March 21, 2005 at 721-726
	508	Trial transcript from March 24, 2005 at 1387
	509	Trial transcript from July 26, 2005
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	511	Cordis' Answering Brief in Opposition to BSC's Motion for JMOL or a New Trial on the Palmaz '762 Patent and the Schatz '332 Patents, dated April 17, 2001
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↓	517	Cordis Corporation v. Boston Scientific, Memorandum Opinion Dated March 27, 2006 (97-550-SLR)
SK	518	Cordis Corporation v. Boston Scientific, Memorandum Opinion Dated March 27, 2006 (97-550-SLR)

EXAMINER	/Sharon Kennedy/	DATE CONSIDERED	10/17/2006
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Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office		Docket No. CRDS-0062 (CRD0931CIP)	Application No. 10/829,074
		Applicant Robert Falotico, et al.	
		Filing Date April 21, 2004	Group 3743
		Confirmation No. 5950	
NON-PATENT DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
SK	519	Cordis Corporation v. Boston Scientific, Order Dated March 27, 2006 (97-550-SLR)	
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	521	<i>Cordis Corporation and Expandable Grafts Partnership v. Advanced Cardiovascular Systems, Inc., Guidant Corporation, Arterial Vascular Engineering, Inc., Boston Scientific Corporation and SCIMED Life Systems, Inc., Answer and Counterclaims of Defendant Advanced Cardiovascular Systems, Inc., April 8, 1998 (Case No. 97-550-SLR)</i>	
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	528	Deposition of Richard Schatz, M.D. in <i>Cordis Corporation v. Advanced Cardiovascular Systems, Inc.</i> taken on July 14, 1998 (Civil Action No. 97-550-SLR)	
	529	Jury Verdict form from the <i>Cordis Corporation et al v. Boston Scientific Corporation, et al</i> liability trial, undated	
↓ SK	530	Trial testimony transcripts from the <i>Cordis Corporation et al. v. Boston Scientific Corporation et al.</i> liability trial dated November 27-December 1, December 4-8 and December 11, 2000	

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		Confirmation No. 5950	
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		Filing Date April 21, 2004	Group 3743
		Confirmation No. 5950	
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	574	Plea Notes in <i>EPG et al. v. Boston Scientific et al.</i> in Netherlands (September 12, 1997)	
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SK	576	Trial testimony transcripts from the <i>Cordis Corporation et al. v. Medtronic AVE Inc., et al.</i> liability trial dated November 6-9, 13-17 and 20-21, 2000	
EXAMINER		/Sharon Kennedy/	DATE CONSIDERED 10/17/2006

Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office		Docket No. CRDS-0062 (CRD0931CIP)	Application No. 10/829,074
		Applicant Robert Falotico, et al.	
		Filing Date April 21, 2004	Group 3743
		Confirmation No. 5950	
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SK	577	Jury verdict form from the Cordis Corporation <i>et al.</i> v. Medtronic AVE, Inc. <i>et al.</i> liability trial	
	578	Hearing testimony transcript from the consolidated Cordis Corporation <i>et al.</i> v. Medtronic AVE, Inc. <i>et al.</i> and Boston Scientific Corporation <i>et al.</i> inequitable conduct hearing dated February 7-9 and 12, 2001	
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EXAMINER	/Sharon Kennedy/	DATE CONSIDERED	10/17/2006
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Sheet 1 of 1

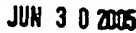
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FOREIGN PATENT DOCUMENTS

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Sheet 1 of 1

Application Number	10/829,074
Filing Date	April 21, 2004
First Named Inventor	Robert Falotico
Group Art Unit	3745 1615
Examiner Name	Not yet assigned
Attorney Docket Number	CRD0931CIP

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

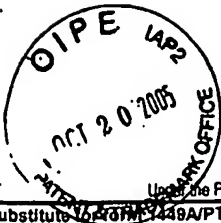
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 19

Application Number	10/829,074
Filing Date	4/21/2004
First Named Inventor	Robert Falotico
Group Art Unit	3743 1615
Examiner Name	
Attorney Docket Number	CRD0931CIP

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
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Examiner Signature	/Sharon Kennedy/	Date Considered	10/17/2006
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 2 of 19

Application Number	10/829,074
Filing Date	4/21/2004
First Named Inventor	Robert Falotico
Group Art Unit	3743
Examiner Name	
Attorney Docket Number	CRD0931CIP

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 3 of 19

Application Number	10/829,074
Filing Date	4/21/2004
First Named Inventor	Robert Falotico
Group Art Unit	3743
Examiner Name	
Attorney Docket Number	CRD0931CIP

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
SK		5,092,877		Pinchuk	3/3/1992	
		5,102,417		Palmaz	4/7/1992	
		5,104,404		Wolff	4/14/1992	
		5,116,365		Hillstead	5/26/1992	
		5,122,154		Rhodes	6/16/1992	
		5,131,908		Dardik et al.	7/21/1992	
		5,133,732		Wiktor	7/28/1992	
		5,134,192		Feijen, J. et al.	7/28/1992	
		5,135,536		Hillstead	8/4/1992	
		5,163,952		Froix	11/17/1992	
		5,163,958		Pinchuk	11/17/1992	
		5,171,262		MacGregor	12/15/1992	
		5,176,660		Truckai	1/5/1993	
		5,178,618		Kandarpa	1/12/1993	
		5,180,366		Woods	1/19/1993	
		5,182,317		Winters et al.	1/26/1993	
		5,185,408		Tang et al.	2-09/1993	
		5,192,307		Wall	3/9/1993	
		5,195,984		Shalz	3/23/1993	
		5,213,576		Abiuso, C.L. et al.	5/25/1993	
V		5,217,483		Tower	6/8/1993	
SK		5,222,971		Willard et al.	6/29/1993	

Examiner Signature	/Sharon Kennedy/	Date Considered	10/17/2006
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 4 of 19

Application Number	10/829,074
Filing Date	4/21/2004
First Named Inventor	Robert Falotico
Group Art Unit	3743
Examiner Name	
Attorney Docket Number	CRD0931CIP

U.S. PATENT DOCUMENTS

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		Number	Kind Code ² (if known)			
SK		5,226,913		Pinchuk	7/13/1993	
		5,234,456		Silvestrini, T.	8/10/1993	
		5,246,445		Yachia et al.	9/21/1993	
		5,258,020		Froix	11/02/1993	
		5,258,021		Duran	11/2/1993	
		5,262,451		Winters et al.	11/16/1993	
		5,266,073		Wall	11/30/1993	
		5,275,622		Lazarus et al.	1/4/1994	
		5,282,823		Schwartz et al.	2/1/1994	
		5,282,824		Gianturco	2/1/1994	
		5,283,257		Gregory et al.	2/1/1994	
		5,288,711		Mitchell et al.	2/22/1994	
		5,290,305		Inoue	3/1/1994	
		5,292,331		Boneau	3/8/1994	
		5,292,802		Rhee et al.	3/08/1994	
		5,304,121		Sahatjian	4/19/1994	
		5,304,200		Spaulding	4/19/1994	
		5,306,250		March, K.L. et al.	4/26/1994	
		5,308,862		Ohlstein, E.H.	5/3/1994	
		5,308,889		Rhee et al.	5/3/1994	
SK		5,314,444		Gianturco	5/24/1994	

Examiner Signature	/Sharon Kennedy/	Date Considered	10/17/2006
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 5 of 19

Application Number	10/829,074
Filing Date	4/21/2004
First Named Inventor	Robert Falotico
Group Art Unit	3743
Examiner Name	
Attorney Docket Number	CRD0931CIP

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		Number	Kind Code ² (if known)			
SK		5,314,472		Fontaine	5/24/1994	
		5,328,471		Slepian, M.J.	7/12/1994	
		5,334,301		Heinke et al.	8/2/1994	
		5,336,518		Pallassana, V.N. et al.	8/9/1994	
		5,338,770		Winters et al.	8/16/1994	
		5,342,348		Kaplan, A.	8/30/1994	
		5,342,387		Summers	8/30/1994	
		5,342,621		Eury, R.P.	8/30/1994	
		5,354,257		Roubin et al.	10/11/94	
		5,354,308		Simon et al.	10/11/94	
		5,356,433		Rowland et al.	10/18/1994	
		5,366,504		Andersen et al.	11/22/1994	
		5,368,566		Crocker, M.	11/29/1994	
		5,370,683		Fontaine	12/6/1994	
		5,370,691		Samson	12/6/1994	
		5,375,612		Cottenceau et al.	12/27/1994	
		5,376,112		Duran	12/27/1994	
SK		5,380,299		Fearnot et al.	1/10/1995	

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STATEMENT BY APPLICANT**

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Sheet 6 of 19

Application Number	10/829,074
Filing Date	4/21/2004
First Named Inventor	Robert Falotico
Group Art Unit	3743
Examiner Name	
Attorney Docket Number	CRD0931CIP

U.S. PATENT DOCUMENTS

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		Number	Kind Code ² (if known)			
SK		5,382,261		Palmaz	1/17/1995	
		5,383,928		Scott et al.	1/24/1995	
		5,387,235		Chuter	2/7/1995	
		5,389,106		Tower	2/14/1995	
		5,393,772		Yue, T.L. et al.	2/28/1995	
		5,395,390		Simon et al.	3/7/1995	
		5,397,355		Marin et al.	3/14/1995	
		5,403,341		Solar	4/4/1995	
		5,405,377		Cragg	4/11/1995	
		5,409,696		Narayanan, P.V. et al.	4/25/1995	
		5,411,549		Peters	5/2/1995	
		5,415,619		Lee et al.	5/16/1995	
		5,419,760		Nardiso, Jr.	5/30/1995	
		5,421,955		Lau	6/6/1995	
		5,423,885		Williams	6/13/1995	
		5,429,618		Keogh	7/4/1995	
		5,429,634		Nardisco, H.L.	7/4/1995	
		5,439,446		Barry, J.	8/8/1995	
		5,441,515		Khosravi et al.	8/15/1995	
		5,441,516		Wang et al.	8/15/1995	
SK		5,441,947		Dodge, J.A. et al.	8/15/1995	

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 7 of 19	Application Number	10/829,074
	Filing Date	4/21/2004
	First Named Inventor	Robert Falotico
	Group Art Unit	3743
	Examiner Name	
	Attorney Docket Number	CRD0931CIP

U.S. PATENT DOCUMENTS

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		Number	Kind Code ² (if known)			
SK		5,443,458		Eury, R.P.	8/22/1995	
		5,443,477		Marin et al.	8/22/1995	
		5,443,496		Schwartz et al.	8/22/1995	
		5,443,498		Fontaine	8/22/1995	
		5,443,500		Sigwart	8/22/1995	
		5,447,724		Heimus et al.	9/5/1995	
		5,449,372		Schmaltz et al.	9/12/1995	
		5,449,373		Pinchasik et al.	9/12/1995	
		5,449,382		Dayton, M.	9/12/1995	
		5,464,450		Buscemi et al.	11/7/1995	
		5,464,650		Berg et al.	11/7/1995	
		5,486,357		Narayanan, P.V.	1/23/1996	
		5,496,365		Sgro	3/5/1996	
		5,500,013		Buscemi et al.	3/19/1996	
		5,510,077		Dinh et al.	4/23/1996	
		5,516,781		Morris et al.	5/14/1996	
		5,523,092		Hanson, S.R. et al.	6/4/1996	
		5,527,354		Fontaine et al.	6/18/1996	
		5,545,208		Wolff et al.	8/13/1996	
SK		5,551,954		Buscemi, P.J. et al.	9/3/1996	

Examiner Signature	/Sharon Kennedy/	Date Considered	10/17/2006
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 8 of 19	Application Number	10/829,074
	Filing Date	4/21/2004
	First Named Inventor	Robert Falotico
	Group Art Unit	3743
	Examiner Name	
	Attorney Docket Number	CRD0931CIP

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		Number	Kind Code ² (if known)			
SK		5,554,182		Dinh et al.	9/10/1996	
		5,554,954		Takahashi	9/10/1996	
		5,556,413		Lam, S.	9/17/1996	
		5,562,922		Lambert, T.	10/8/1996	
		5,563,146		Morris et al.	10/8/1996	
		5,569,197		Helmus, M.N. et al.	10/29/1996	
		5,569,295		Lam	10/29/1996	
		5,571,166		Dinh et al.	11/5/1996	
		5,574,059		Regunathan, S. et al.	11/12/1996	
		5,578,075		Dayton, M.	11/26/1996	
		5,580,873		Bianco, J.A. et al.	12/3/1996	
		5,580,874		Bianco, J.A. et al.	12/3/1996	
		5,591,140		Narayanan, P.V. et al.	1/7/1997	
		5,591,197		Orth et al.	1/7/1997	
		5,591,224		Schwartz et al.	1/7/1997	
		5,591,227		Dinh et al.	1/7/1997	
		5,599,352		Dinh et al.	2/4/1997	
SK		5,603,722		Phan et al.	2/18/1997	

Examiner Signature	/Sharon Kennedy/	Date Considered	10/17/2006
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 9 of 19

Application Number	10/829,074
Filing Date	4/21/2004
First Named Inventor	Robert Falotico
Group Art Unit	3743
Examiner Name	
Attorney Docket Number	CRD0931CIP

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SK		5,605,696		Eury et al.	2/25/1997	
		5,607,463		Schwartz et al.	3/4/1997	
		5,607,475		Cahalan et al.	3/4/1997	
		5,609,629		Fearnot et al.	3/11/1997	
		5,620,984		Bianco, J.A. et al.	4/15/1997	
		5,621,102		Bianco, J.A. et al.	4/15/1997	
		5,622,975		Singh, J.P. et al.	4/22/1997	
		5,624,411		Tuch, R.	4/29/1997	
		5,628,785		Schwartz et al.	5/13/1997	
		5,629,077		Tumlund et al.	5/13/1997	
		5,629,315		Bianco, J.A. et al.	5/13/1997	
		5,632,763		Glastra, H.	5/27/1997	
		5,632,840		Campbell, P.	5/27/1997	
		5,637,113		Tartaglia et al.	6/10/1997	
		5,643,312		Fischell et al.	7/1/1997	
		5,643,939		Ohlstein, E.H.	7/1/1997	
		5,646,160		Morris et al.	7/8/1997	
		5,648,357		Bianco, J.A. et al.	7/15/1997	
		5,649,952		Lam	7/22/1997	
✓		5,649,977		Campbell, P.K.	7/22/1997	
SK		5,651,174		Schwartz, R.S. et al.	7/29/1997	

Examiner Signature	/Sharon Kennedy/	Date Considered	10/17/2006
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 10 of 19

Application Number	10/829,074
Filing Date	4/21/2004
First Named Inventor	Robert Falotico
Group Art Unit	3743
Examiner Name	
Attorney Docket Number	CRD0931CIP

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SK		5,652,243		Bianco, J.A. et al.	7/29/1997	
		5,653,992		Bezwada, R.S. et al.	8/5/1997	
		5,662,609		Slepian, M.J.	9/2/1997	
		5,665,728		Morris, R.E. et al.	9/9/1997	
		5,669,924		Shaknovich, A.	9/23/1997	
		5,670,506		Leigh, A. et al.	9/23/1997	
		5,679,400		Tuch, R.	10/21/1997	
		5,679,659		Verhoeven et al.	10/21/1997	
		5,693,085		Buirge et al.	12/2/1997	
		5,697,967		Dinh et al.	12/16/1997	
		5,697,971		Fischell et al.	12/16/1997	
		5,700,286		Tartaglia et al.	12/23/1997	
		5,707,385		Williams, M.	1/13/1998	
		5,709,874		Hanson, S.R. et al.	1/20/1998	
		5,725,549		Lam, S.	3/10/1998	
		5,725,567		Wolff et al.	3/10/1998	
		5,728,150		McDonald et al.	3/17/1998	
SK		5,728,420		Keogh, J.	3/17/1998	

Examiner Signature	/Sharon Kennedy/	Date Considered	10/17/2006
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 11 of 19

Application Number	10/829,074
Filing Date	4/21/2004
First Named Inventor	Robert Falotico
Group Art Unit	3743
Examiner Name	
Attorney Docket Number	CRD0931CIP

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
SK		5,731,326		Hart, C.E. et al.	3/24/1998	
		5,733,327		Igaki et al.	3/31/1998	
		5,733,920		Mansuri, M.M. et al.	3/31/1998	
		5,733,925		Kunz, L.L. et al.	3/31/1998	
		5,735,897		Buirge, A.	4/17/1998	
		5,739,138		Bianco, J.A. et al.	4/14/1998	
		5,755,734		Richter et al.	5/26/1998	
		5,769,883		Buscemi, P.J. et al.	6/23/1998	
		5,776,184		Tuch, R.J.	7/7/1998	
		5,780,476		Underiner, G.E. et al.	7/14/1998	
		5,782,908		Cahalan, L.L. et al.	7/21/1998	
		5,788,979		Alt, E.	8/4/1998	
		5,792,772		Bianco, J.A. et al.	8/11/1998	
		5,798,372		Davies, P.A. et al.	8/25/1998	
		5,799,384		Schwartz et al.	9/1/1998	
		5,800,507		Schwartz, R.	9/1/1998	
		5,800,508		Goicoechea et al.	9/1/1998	
V		5,807,861		Klein, J.P. et al.	9/15/1998	
SK		5,811,447		Kunz, L.L. et al.	9/22/1998	

Examiner Signature	/Sharon Kennedy/	Date Considered	10/17/2006
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 12 of 19	Application Number	10/829,074
	Filing Date	4/21/2004
	First Named Inventor	Robert Falotico
	Group Art Unit	3743
	Examiner Name	
	Attorney Docket Number	CRD0931CIP

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		Number	Kind Code ² (if known)			
SK		5,820,917		Tuch, R.	10/13/1998	
		5,820,918		Ronan et al.	10/13/1998	
		5,824,048		Tuch, R.	10/20/1998	
		5,824,049		Ragheb et al.	10/20/1998	
		5,833,651		Donovan et al.	11/10/1998	
		5,837,008		Berg et al.	11/17/1998	
		5,837,313		Ding et al.	11/17/1998	
		5,843,172		Yan, J.	12/1/1998	
		5,849,034		Schwartz, R.	12/15/1998	
		5,851,217		Wolff et al.	12/22/1998	
		5,851,231		Wolff et al.	12/22/1998	
		5,858,990		Walsh, K.	1/12/1999	
		5,861,027		Trapp, R.	1/19/1999	
		5,865,814		Tuch, R.	2/2/1999	
		5,871,535		Wolff et al.	2/16/1999	
		5,873,904		Ragheb, A.O. et al.	2/23/1999	
SK		5,876,433		Lunn, A.C.	3/2/1999	

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 13 of 19

Application Number	10/829,074
Filing Date	4/21/2004
First Named Inventor	Robert Falotico
Group Art Unit	3743
Examiner Name	
Attorney Docket Number	CRD0931CIP

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		Number	Kind Code ² (if known)			
SK		5,879,697		Ding et al.	3/9/1999	
		5,882,335		Leone et al.	3/16/1999	
		5,891,108		Leone, J.E. et al.	4/6/1999	
		5,900,246		Lambert, T.L.	5/4/1999	
		5,902,266		Leone, J.E. et al.	5/11/1999	
		5,951,586		Berg, E.P. et al.	9/14/1999	
		5,957,971		Schwartz, R.S.	9/28/1999	
		5,972,027		Johnson, M.W.	10/26/1999	
		5,976,534		Hart, C.E. et al.	11/2/1999	
		5,977,163		Li, C. et al.	11/2/1999	
		5,980,553		Gray, L.B. et al.	11/9/1999	
		5,980,566		Alt, E. et al.	11/9/1999	
		5,980,972		Ding N.	11/9/1999	
		5,985,307		Hanson, S.R. et al.	11/16/1999	
		5,997,468		Wolff, R.G. et al.	12/7/1999	
		6,004,346		Wolff, R.G. et al.	12/21/1999	
		6,039,721		Johnson, K. et al.	3/21/2000	
		6,059,813		Vrba, A.C. et al.	5/9/2000	
SK		6,071,305		Brown, J.E. et al.	6/6/2000	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 14 of 19	Application Number	10/829,074
	Filing Date	4/21/2004
	First Named Inventor	Robert Falotico
	Group Art Unit	3743
	Examiner Name	
	Attorney Docket Number	CRD0931CIP

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		Number	Kind Code ² (if known)			
SK		6,074,659		Kunz, L.L. et al.	6/13/2000	
		6,080,190		Schwartz, R.S.	6/27/2000	
		6,096,070		Ragheb, A.O. et al.	8/1/2000	
		6,120,536		Dinge et al.	9/19/2000	
		6,136,798		Cody, W.L., et al.	10/24/2000	
		6,140,127		Sprague, E.A.	10/31/2000	
		6,146,358		Rowe, S.J.	11/14/2000	
		6,153,252		Hossainy, S.F. et al.	11/28/2000	
		6,171,232		Papandreou, G. et al.	1/9/2001	
		6,171,609		Kunz, L.L.	1/9/2001	
		6,177,272		Nabel, G.J. et al.	1/23/2001	
		6,214,901	B1	Chudzik et al.	4/10/2001	
		6,240,616		Yan, J.Y.	6/5/2001	
		6,254,632		Wu, S.Z. et al.	7/3/2001	
		6,258,121	B1	Yang et al.	7/10/2001	
		6,268,390		Kunz, L.L.	7/31/2001	
		6,273,913		Wright, C. et al.	8/14/2001	
SK		6,287,320		Slepian, M.J.	9/11/2001	

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 15 of 19

Application Number	10/829,074
Filing Date	4/21/2004
First Named Inventor	Robert Falotico
Group Art Unit	3743
Examiner Name	
Attorney Docket Number	CRD0931CIP

U.S. PATENT DOCUMENTS

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		Number	Kind Code ² (if known)			
SK		6,287,628		Hossainy, S. F. et al.	9/11/2001	
		6,299,604	B1	Ragheb et al.	10/09/2001	
		6,306,166	B1	Barry et al.	10/23/2001	
		6,306,176	B1	Whitbourne	10/23/2001	
		6,306,421		Kunz, L.L. et al.	10/23/2001	
		6,309,380	B1	Larson et al.	10/30/2001	
		6,309,660	B1	Hsu et al.	10/30/2001	
SK		6,313,264		Caggiano, T.J. et al.	11/6/2001	

Examiner Signature	/Sharon Kennedy/	Date Considered	10/17/2006
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 16 of 19

Application Number	10/829,074
Filing Date	4/21/2004
First Named Inventor	Robert Falotico
Group Art Unit	3743
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Attorney Docket Number	CRD0931CIP

FOREIGN PATENT DOCUMENTS

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		Office ³	Number ⁴	KindCode ⁵				
SK		DE	3205942	A1	Vasilev, Ljubomir, Dr.	9/8/1983		✓
		EP	0 540 290	A2	Advanced Cardiovascular Systems, Inc.	10/27/1992		
		EP	0 568 310	A1	American Home Products Corp.	11/3/1993		
		EP	0 604 022	A1	Advanced Cardiovascular Systems, Inc.	6/29/1994		
		EP	0 621 015	A1	Schneider Europ AG (CN)	10/26/1994		
		EP	0 623 354	A1	Medtronic, Inc.	11/9/1994		
		EP	0 712 615	A1	Advanced Cardiovascular Systems Inc.	5/22/1996		
		EP	0 716 836	A1	Advanced Cardiovascular Systems Inc.	6/19/1996		
		EP	0 734 698	A2	Variomed AG	3/28/1996		
		EP	0 734 721	A1	Advanced Cardiovascular System, Inc.	10/2/1996		
		EP	0 761 251	A1	Kabushikikaisha Igaki Iryo Sekkei	3/12/1997		
		EP	0 800 801	A1	Advanced Cardiovascular Systems, Inc.	8/1/1996		
		EP	0 830 853	A1	Medinol Ltd.	7/3/1997		
		EP	0 850 651	A2	Schneider Inc.	7/1/1998		
SK		EP	0 938 878	A3	Rakos, et al.	9/1/1999		

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 17 of 19

Application Number	10/829,074
Filing Date	4/21/2004
First Named Inventor	Robert Falotico
Group Art Unit	3743
Examiner Name	
Attorney Docket Number	CRD0931CIP

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		Office ³	Number ⁴	KindCode ⁵				
SK		FR	0 566 807	A1	Yarrow, Harold Edgar	4/24/1992		✓
		GB	0 662 307	A2	Frank B. Dehn & Co.	12/28/1994		
		WO	00/27445	A1	SCIMED Life Systems, Inc.	5/18/2000		
		WO	01/87376	A1	Cordis Corporation	11/22/2001		
		WO	91/12779	A1	Medtronic, Inc.	9/5/1991		
		WO	92/15286	A1	Shikani Alain - Nova Pham	9/17/1992		
		WO	94/01056	A1	Boston Scient Corp	1/20/1994		
		WO	94/21308	A1	Cedars Sinai Medical Center	9/29/1994		
		WO	94/21309	A1	DSB NV (BE)	9/29/1994		
		WO	94/24961	A1	Schneider USA Inc.	11/10/1994		
		WO	96/00272	A1	Schlosser, Ulrike	1/4/1996		
		WO	96/26689	A1	Scimed Life Systems Inc.	9/6/1996		
		WO	96/32907	A1	Schneider Inc.	10/24/1996		
		WO	96/34580	A1	Dible, Alain	11/7/1996		
✓		WO	97/25000	A1	Chuter, Timothy A.M.	7/17/1997		
SK		WO	97/33534	A1	Globerman et al.	9/18/1997		

Examiner Signature	/Sharon Kennedy/	Date Considered	10/17/2006
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 18 of 19	Application Number	10/829,074
	Filing Date	4/21/2004
	First Named Inventor	Robert Falotico
	Group Art Unit	3743
	Examiner Name	
	Attorney Docket Number	CRD0931CIP

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		Office ³	Number ⁴	KindCode ⁵				
SK		WO	98/13344	A1	Bristol-Myers Squibb Company	4/2/1998		
		WO	98/19628	A1	Vardi, Gil M.	5/14/1998		
		WO	98/23228	A1	Alza Corporation	6/4/1998		
		WO	98/34669	A1	Ensovasc Ltd. Inc.	8/13/1998		
		WO	98/47447	A1	Dubrul, W.	10/29/1998		
		WO	98/56312	A1	SciMed Life Systems Inc.	12/17/1998		
SK		UK	1 205 743		Colin Charles Didcott	9/16/1970		

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Sheet 19 of 19

Application Number	10/829,074
Filing Date	4/21/2004
First Named Inventor	Robert Falotico
Group Art Unit	3743
Examiner Name	
Attorney Docket Number	CRD0931CIP

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

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SUBMISSION UNDER MPEP 609 D Page 1 of 1	<i>Application Number</i>	10/829,074
	<i>Filing Date</i>	4/21/2004
	<i>First Named Inventor</i>	Robert Falotico
	<i>Group Art Unit</i>	3743
	<i>Examiner Name</i>	
	<i>Attorney Docket Number</i>	CRD0931CIP

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SK		European Search Report EP 05 25 2466 dated July 26, 2005	
SK		European Search Report EP 05 25 2631 dated July 26, 2005	
SK		European Search Report EP 05 25 2478 dated July 26, 2005	

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